

July 1, 2009

QUENTIN WILLIAMS  
Chair, Academic Senate

Dear Quentin:

Re: Information requested at May 20, 2009 Academic Senate meeting

I write to provide you with information requested at the last Senate meeting; attached are three sets of graphics:

1. Historical Student Workload FTE by Division as a Percent of Campus Total (1988-89 to present).

Periods of recession (as defined by the National Bureau of Economic Research) are highlighted in yellow; there were three such recessionary periods during these years. After the two previous periods, the proportion of the campus's science and engineering enrollments increased.

2. Annual Indirect Cost Recovery by Division (1996 to present).

Using indirect cost recovery as a proxy for contracts and grants activity, this graphic illustrates that science and engineering faculty bring in nearly 70 percent of these extramural resources; when UARC grants are included, the proportion is over 80 percent.

3. Faculty FTE (1998-99 to present).

The three charts – all of which have been previously shared with CPB – show the ten-year outcome of campus FTE allocations. This information illustrates the campus's progress (even in the context of the 2009-10 differential cuts) in moving each academic division toward the faculty FTE targets developed as part of our academic planning processes.

I trust these materials are responsive to your request and illustrate, in part, that the difficult decision about how to allocate the \$17.5 million in permanent cuts to UCSC since 2008 was based on more than a single observation. Instead, a broad range of factors and principles were weighed in an effort to preserve instruction and research as well as essential functions that support I&R activities and to position the campus for the future.

An understanding of these and other data will be important as we move forward. As a result of the State's worsening fiscal condition, we are bracing for another difficult round of budget cuts and, while it is important that we provide information about the factors that influenced past decisions, we need to focus our thinking on how we will work together to move the campus forward.

I look forward to working with the Senate leadership and with principal officers to create an improved consultation process for exploring how to absorb further cuts.

Sincerely,

A handwritten signature in black ink, appearing to read "Dave", written in a cursive style.

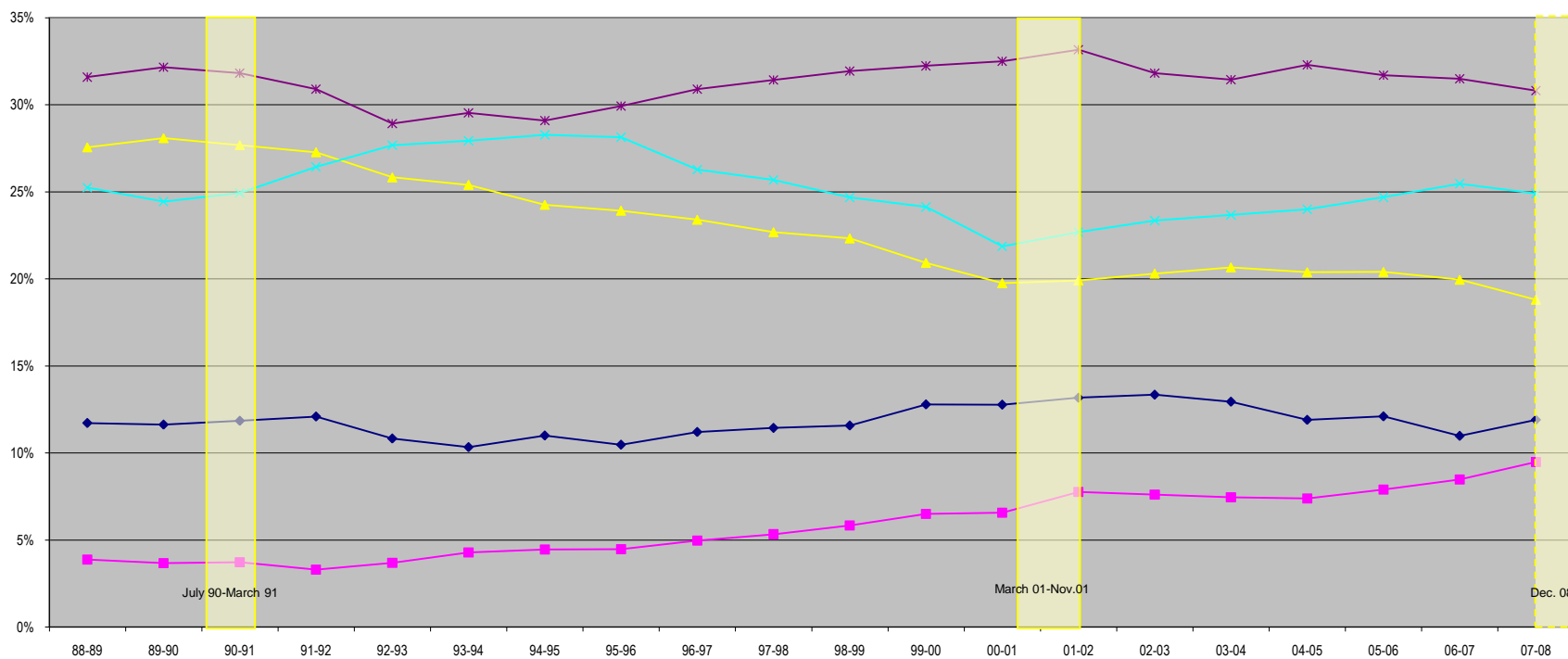
David S. Kliger  
Campus Provost and  
Executive Vice Chancellor

Attachments

cc: Vice Chancellor Michaels

## Historical Student Workload FTE by Division\* as a % of Campus Total

\* CE and CS FTE prior to approval of JBSOE are grouped with Engineering



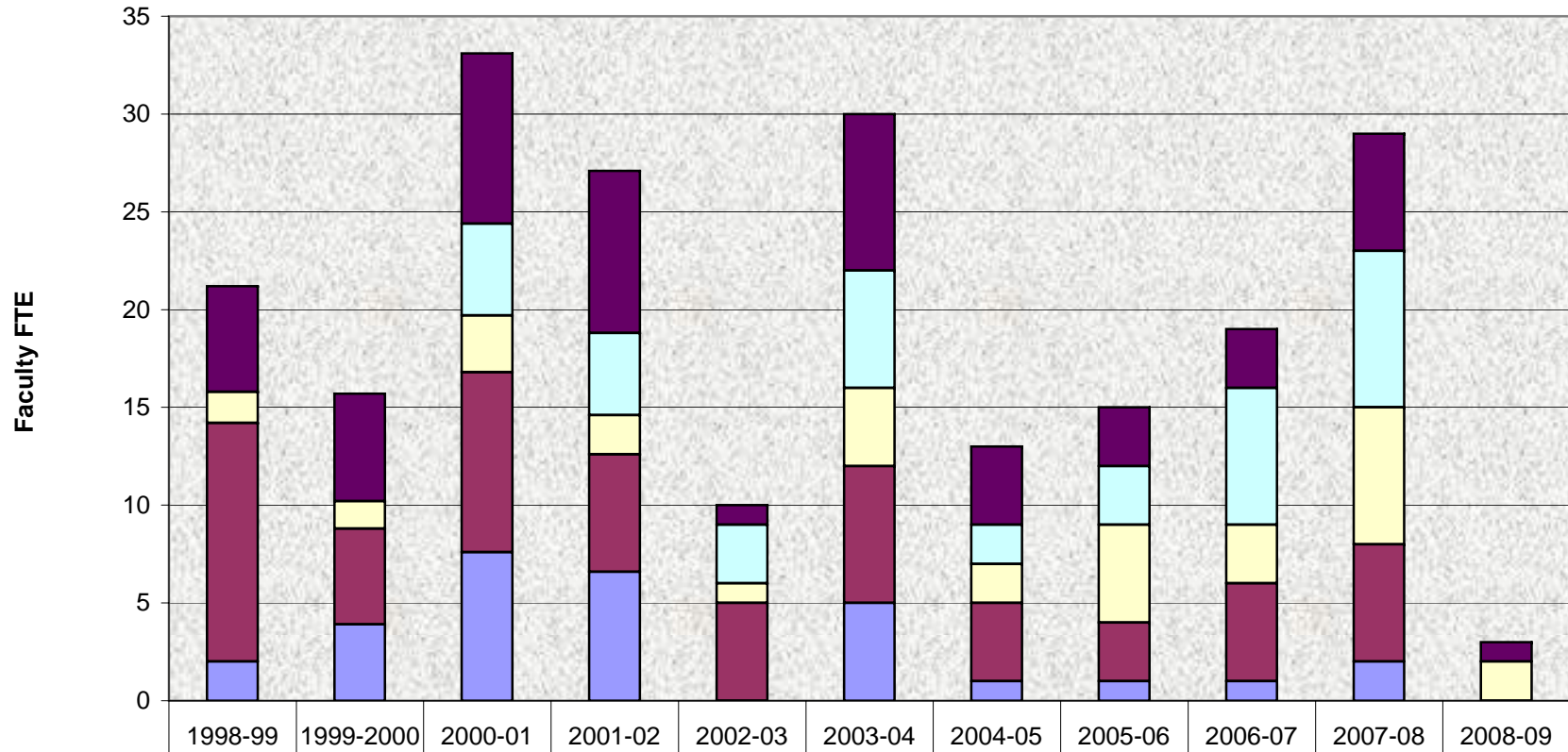
◆ Arts    ■ Eng    ▲ Hum    ✕ PBSci    ✱ SocSci

# UCSC INDIRECT COST RECOVERY

As of 4/20/2009

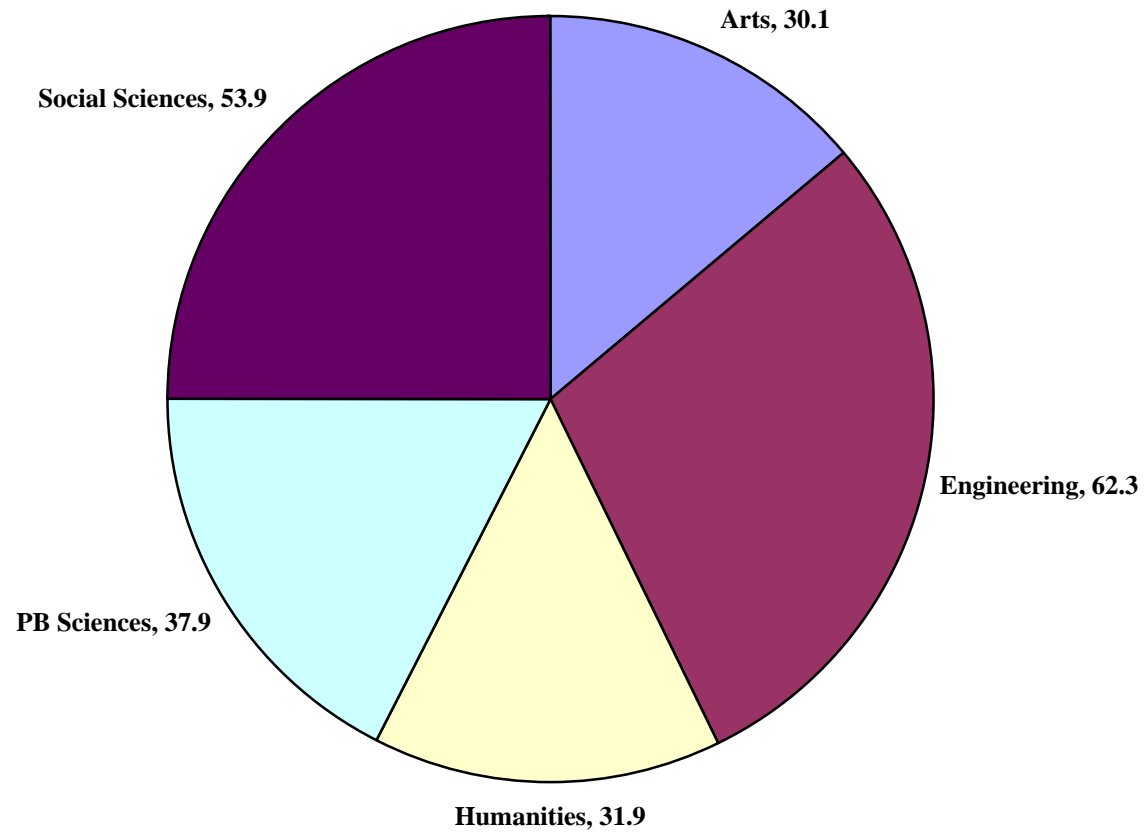
Division/Unit	2002	2003	2004	2005	2006	2007	2008	2009
Arboretum	12,892	16,018	21,787	3,322	6,288	6,560	947	7,175
Arts Division	659	5,162	4,940	24,045	29,435	46,070	15,532	10,529
Campus Life	(83)			2,288	190			
Campus Wide Revenue								
Chancellor's Office			1,755	6,284				
Crown College								
Development						20,211		
Educational Partnership Center	90,290	104,415	124,682	210,530	348,353	441,462	520,492	262,290
<b>Engineering</b>	<b>1,399,024</b>	<b>1,927,834</b>	<b>2,349,541</b>	<b>2,758,267</b>	<b>3,131,287</b>	<b>3,474,357</b>	<b>3,855,153</b>	<b>3,555,832</b>
Housing Services								
Humanities Division	17,115	21,871	36,014	2,277	24,587	31,651	33,102	(3,049)
Library			(0)					
MBEST Center	2		6					
Merrill College	714	1,454	1,029	1,067	1,834	1,577	1,244	890
MRU Dickens Project			69					
MRU Institute Geo & Planet Physics								
Office of Phys Ed, Rec & Sports								
<b>Physical &amp; Biological Sciences</b>	<b>5,913,733</b>	<b>6,820,757</b>	<b>7,533,938</b>	<b>7,298,451</b>	<b>7,963,376</b>	<b>8,330,072</b>	<b>8,388,761</b>	<b>6,509,111</b>
Physical Planning & Constr Projects								
Physical Plant Services								
Silicon Valley Center		32,956	39,115	(72,071)				
Social Sciences Division	869,846	872,806	842,440	779,625	1,298,899	1,577,595	1,768,922	1,242,435
Stevenson College								
Student Academic Support						1,300	3	
Student Health Services					3,151	(796)		
UCOLO UCO Lick Observatory	1,037,778	1,130,208	1,287,381	1,420,655	1,395,507	1,656,477	1,623,129	1,265,558
UCO Multicampus Research Unit					(668)		668	
UC Wide Programs					6	(6)		6
Undergraduate Education	27,689	41,723	126,111	92,367	(109)			
<b>University Affiliated Research Cntr</b>			<b>1,113,837</b>	<b>2,376,470</b>	<b>2,339,765</b>	<b>2,341,033</b>	<b>2,661,131</b>	<b>2,281,760</b>
University Extension		2,596	5,935	5,475	3,585	(710)		13,601
UR Ancillary								
Vice Chancellor Research					33			
	<b>9,369,658</b>	<b>10,977,799</b>	<b>13,488,579</b>	<b>14,909,051</b>	<b>16,545,520</b>	<b>17,926,853</b>	<b>18,869,083</b>	<b>15,146,137</b>

**HISTORY OF FACULTY ALLOCATIONS 1998-99 THRU 2008-09  
(Net of TAS Conversion)**



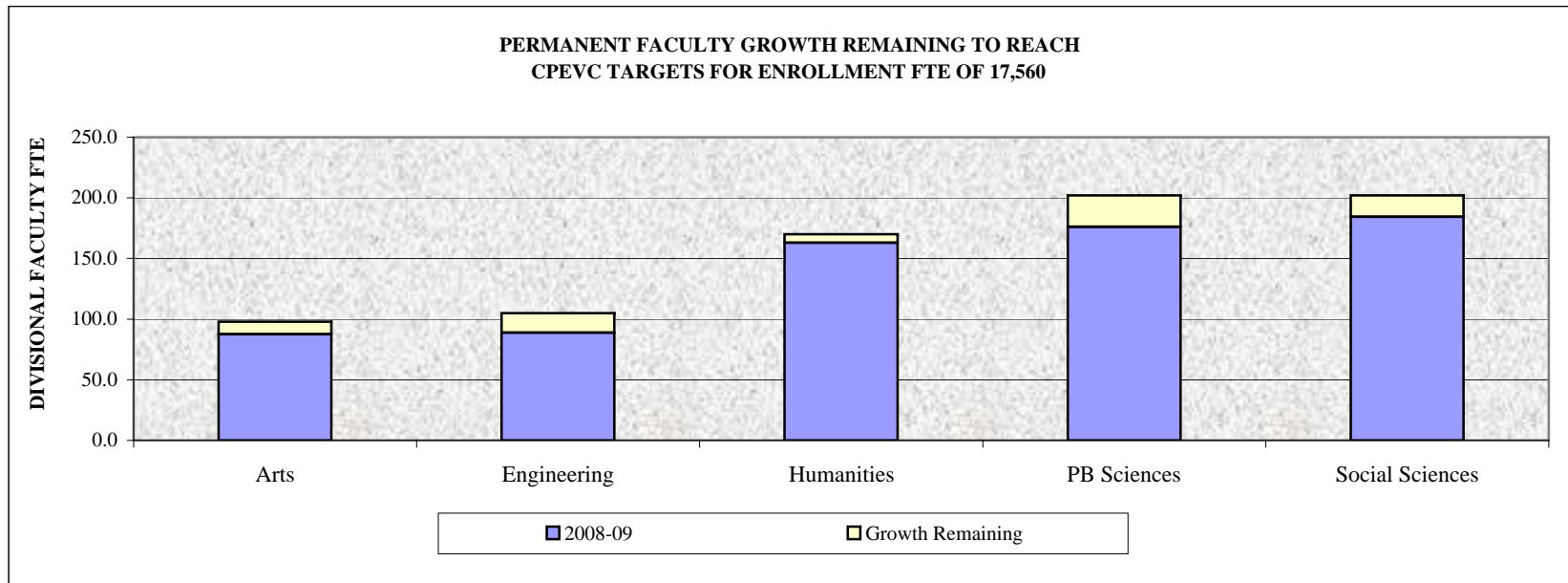
	1998-99	1999-2000	2000-01	2001-02	2002-03	2003-04	2004-05	2005-06	2006-07	2007-08	2008-09
■ Social Sciences	5.4	5.5	8.7	8.3	1	8	4	3	3	6	1
■ PB Sciences	0	0	4.7	4.2	3	6	2	3	7	8	0
■ Humanities	1.6	1.4	2.9	2	1	4	2	5	3	7	2
■ Engineering	12.2	4.9	9.2	6	5	7	4	3	5	6	0
■ Arts	2	3.9	7.6	6.6	0	5	1	1	1	2	0

**Investment of Centrally Allocated Faculty FTE 1998-99 Through 2008-09  
(net of TAS conversion and excluding annual IWF allocation)**



**Faculty FTE Relative to CPEVC Targets  
(excludes IWF and Academic Admin Replacement FTE)**

Division	Division Budgeted FTE				Status at 17,560 FWS			
	1998-99 "Base" [1]	2008-09	Growth	Percent Increase in FTE	CPEVC Target Based on Academic Plans	% of Total	Growth Remaining	Remaining Growth as % of Campus Total
Arts	59.4	87.5	28.1	47%	98.00	13%	10.49	14%
Engineering	38.6	88.7	50.1	130%	105.00	14%	16.30	21%
Humanities	132.7	163.0	30.3	23%	170.00	22%	7.00	9%
PB Sciences	138.2	176.1	37.9	27%	202.00	26%	25.90	34%
Social Sciences	136.0	184.5	48.5	36%	202.00	26%	17.50	23%
<b>Division Subtotal</b>	<b>504.9</b>	<b>699.8</b>	<b>194.9</b>	<b>39%</b>	<b>777.00</b>	<b>100%</b>	<b>77.19</b>	<b>100%</b>



[1] This is a calculated base - 08-09 budgeted FTE minus faculty allocations; nets out changes due to TAS conversions; academic admin appts and IWF